### Lie Groups Problem Sheets

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## Preliminaries — Matrix Lie Groups and Basic Examples

**Exercise 0.1.** Let  $A_1$  be the standard differentiable structure on  $\mathbb{R}$ , and  $A_2$  the one induced by the atlas  $\{(\mathbb{R}, f(x) = x^3)\}$ . Show that:

- (1) Show that  $A_1$  and  $A_2$  are not identical.
- (2) Are  $(\mathbb{R}, \mathcal{A}_1)$  and  $(\mathbb{R}, \mathcal{A}_2)$  diffeomorphic manifolds?

**Exercise 0.2.** Consider the curve  $c: \mathbb{R} \to \mathbb{R}^2$ . Examine whether c and the restrictions  $c|_{(0,2\pi)}$  and  $t \mapsto (\sin t, \sin 2t)$ 

$$c|_{(0,\pi)}$$
 are

- (1) immersions,
- (2) injective immersions,
- (3) embeddings.

Exercise 0.3. Why is the embeddedness assumption in the following lemma.

**Lemma.** Consider the following commutative diagram of maps between manifolds, in which F is any differentiable map and G is an immersion.

$$\begin{array}{c}
M \\
H \downarrow \qquad F \\
N \xrightarrow{G} P
\end{array}$$

Then:

- (1) If G is an embedding, then H is continuous.
- (2) If H is continuous, then H is differentiable.

[Hint: Think of the preceding question.]

**Exercise 0.4.** Let  $\varphi = (x_1, \dots, x_n) : U \to \mathbb{R}^n$  be a chart of a differentiable manifold M. Show that  $\left[\frac{\partial}{\partial x_i}, \frac{\partial}{\partial x_j}\right] = 0$  for all i, j.

**Exercise 0.5.** Show that the vector field X defined by  $X_{(a,b)} = b \frac{\partial}{\partial x} \Big|_{(a,b)} - a \frac{\partial}{\partial y} \Big|_{(a,b)}$  on  $\mathbb{R}^2$  is complete and determine the corresponding one-parameter group of diffeomorphisms.

**Exercise 0.6.** Which definitions of the tangent space TM of a smooth manifold M are you familiar with? Can you show that for every  $p \in M$  and  $v \in T_pM$  there exists a smooth curve  $c : (-\varepsilon, \varepsilon) \to M$ ,  $\varepsilon > 0$ , with c(0) = p and c'(0) = v?

## The Exponential Map and One-Parameter Subgroups

Exercise 1.1. Convince yourself that the symplectic group

$$Sp(2n, \mathbb{C}) = \{A \in M(2n, \mathbb{C}) \mid AJA^{\mathrm{T}} = J\}$$

is indeed a closed matrix Lie group and show that its Lie algebra is given by

$$\mathfrak{sp}(2n) = \{ X \in M(2n, \mathbb{C}) \mid XJ = -JX^{\mathrm{T}} \}.$$

**Exercise 1.2.** Recall that the usual hermitian product on  $M(n, \mathbb{C}) \cong \mathbb{C}^{n^2}$  and the usual Euclidean product on  $M(n, \mathbb{R}) \cong \mathbb{R}^{n^2}$  can be expressed by the formulas  $\langle X, Y \rangle = \operatorname{tr}(XY^*)$  and  $\langle X, Y \rangle = \operatorname{tr}(XY^T)$ , respectively.

Show that with respect to their subspace topology as closed matrix Lie groups SO(n), O(n), SU(n) and U(n) are compact, but  $SL(n,\mathbb{R})$  and  $SL(n,\mathbb{C})$  are not compact.

Exercise 1.3. Show that

- (1) O(n) is not connected.
- (2) SO(n), U(n) and SU(n) are connected.

**Exercise 1.4.** The Euclidean group E(n) is the group of all maps  $f: \mathbb{R}^n \to \mathbb{R}^n$  with ||f(x) - f(y)|| = ||x - y|| for all  $x, y \in \mathbb{R}^n$ , where  $||\cdot||$  is the standard Euclidean norm on  $\mathbb{R}^n$ . One can show that every  $f \in E(n)$  with f(0) = 0 is a linear map. Show that:

(1) The map  $\varphi: \mathbb{R}^n \times O(n) \to E(n)$  defined by

$$\varphi(v, A)(x) = Ax + v,$$

is bijective.

(2) The group E(n) is isomorphic to a closed matrix Lie group.

**Exercise 1.5.** Convince yourself that the exponential map restricts to a well-defined map  $\exp: \mathfrak{sl}(2,\mathbb{R}) \to SL(2,\mathbb{R})$ . Show that:

- (1)  $\exp(X) = \cosh \lambda \cdot I_2 + \frac{\sinh \lambda}{\lambda} \cdot X$ , for all  $X \in \mathfrak{sl}(2,\mathbb{R})$ ,  $X \neq 0$ , where  $\lambda = \sqrt{-\det(X)}$  is an eigenvalue of X (in case of  $\lambda = 0$  we define  $\frac{\sinh \lambda}{\lambda} := 1$ ).
- (2)  $\exp: \mathfrak{sl}(2,\mathbb{R}) \to SL(2,\mathbb{R})$  is not surjective.

[Hint: Show that matrices of the form  $\begin{pmatrix} -1 & \pm 1 \\ 0 & -1 \end{pmatrix}$  are not contained in the image of exp.]

## Lie Algebras and the Adjoint Representation

**Exercise 2.1.** Recall that the product  $M \times N$  of two smooth manifolds M and N has a natural product smooth structure. With respect to this smooth structure the projections  $\pi_1: M \times N \to M$  and  $\pi_2: M \times N \to N$  are smooth maps. Moreover, they induce isomorphisms  $d\pi_1 \times d\pi_2: T_{(p,q)}(M \times N) \to T_pM \times T_qN \cong T_pM \oplus T_qN$  for all  $(p,q) \in M \times N$ .

Let G be a Lie group. Denote the group multiplication and the group inversion by  $\mu: G \times G \to G$  and  $\tau: G \to G$ , respectively.

- (1) Determine the differentials  $d\mu_{(e,e)}: T_eG \oplus T_eG \to T_eG$  (under the above identification  $T_{(e,e)}(G \times G) \cong T_eG \oplus T_eG$ ) and  $d\tau_e: T_eG \to T_eG$ .
- (2) For  $X, Y \in T_eG$ , let  $\hat{X}, \hat{Y}$  be the left-invariant vector fields on G with  $\hat{X}_e = X$  and  $\hat{Y}_e = Y$ , and let  $\tilde{X}, \tilde{Y}$  be the right-invariant vector fields on G with  $\tilde{X}_e = X$  and  $\tilde{Y}_e = Y$ . Show that  $[\hat{X}, \hat{Y}]_e = -[\tilde{X}, \tilde{Y}]_e$ .
- (3) Convince yourself that also right-invariant vector fields on G with the Lie bracket form a Lie algebra. Conclude that this Lie algebra is isomorphic to the Lie algebra of left-invariant vector fields on G with the Lie bracket.

**Exercise 2.2.** Let G and H be Lie groups and  $\varphi: G \to H$  a group homomorphism which is smooth in a neighborhood of the neutral element e of G. Show that  $\varphi$  is smooth (and hence a Lie group homomorphism).

**Exercise 2.3.** Let  $H \subset G$  be an abstract subgroup of a Lie group G. Show that its topological closure is a closed subgroup of G (and hence an embedded Lie subgroup by theorems mentioned in the script). Show that the closure is abelian if H is so.

**Exercise 2.4.** Let  $T^2 = S^1 \times S^1$  be a 2-dimensional torus. Consider the Lie group homomorphism  $\varphi : \mathbb{R} \to T^2$   $t \mapsto (e^{2\pi i t}, e^{2\pi i \alpha t})$ 

where  $\alpha \in \mathbb{R}$ . Show that:

- (1)  $\varphi$  is an immersion.
- (2) If  $\alpha \in \mathbb{Q}$ , then  $\varphi$  is periodic and  $G := \varphi(\mathbb{R})$  is a one-dimensional compact Lie subgroup of  $T^2$ .
- (3) If  $\alpha \in \mathbb{R} \setminus \mathbb{Q}$ , then there holds:
  - (a)  $\varphi$  is injective.
  - (b) G is dense in  $T^2$ .
  - (c) G is not closed in  $T^2$ .

**Exercise 2.5.** The skew-field of quaternions  $\mathbb{H}$  is the set of matrices of type  $\begin{pmatrix} u & v \\ -\overline{v} & \overline{u} \end{pmatrix}$  with  $u, v \in \mathbb{C}$ , endowed with the usual addition and multiplication of matrices. The fields  $\mathbb{R}$  and  $\mathbb{C}$  are embedded in  $\mathbb{H}$  via  $\mathbb{C} \ni u \mapsto \begin{pmatrix} u & 0 \\ 0 & \overline{u} \end{pmatrix}$ . Set  $\mathbf{1} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$ ,  $\mathbf{i} = \begin{pmatrix} \mathbf{i} & 0 \\ 0 & -\mathbf{i} \end{pmatrix}$ ,  $\mathbf{j} = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$ ,  $\mathbf{k} = \begin{pmatrix} 0 & \mathbf{i} \\ \mathbf{i} & 0 \end{pmatrix}$ . Then  $\{\mathbf{1}, \mathbf{i}, \mathbf{j}, \mathbf{k}\}$  is a basis of the  $\mathbb{R}$ -vector space  $\mathbb{H}$ , and one has

$$\mathbf{i}^2 = \mathbf{j}^2 = \mathbf{k}^2 = -1, \mathbf{i}\mathbf{j} = -\mathbf{j}\mathbf{i} = \mathbf{k}, \mathbf{j}\mathbf{k} = -\mathbf{k}\mathbf{j} = \mathbf{i}, \mathbf{k}\mathbf{i} = -\mathbf{i}\mathbf{k} = \mathbf{j}.$$

- (1) Show that  $\mathbb{R}$  is the center of  $\mathbb{H}$  with respect to multiplication.
- (2) Let  $h = a + b\mathbf{i} + c\mathbf{j} + d\mathbf{k} \in \mathbb{H}$  be a quaternion. Its real part and its conjugation are defined as  $\operatorname{Re}(h) := a$  and  $\bar{h} := a b\mathbf{i} c\mathbf{j} d\mathbf{k}$ , respectively. Show that conjugation is an anti-automorphism, i.e.  $\bar{h}\bar{k} = \bar{k}\bar{h}$  for all  $h, k \in \mathbb{H}$ . Show that we can define an inner product on  $\mathbb{H}$  via  $\langle h, k \rangle = \operatorname{Re}(h\bar{k})$ , which satisfies  $\langle h, h \rangle = \det h$ .
- (3) A quaternion h is called purely imaginary, if  $\bar{h} = -h$ . The subspace of purely imaginary quaternions is in a natural way identified with  $\mathbb{R}^3$ . Show that under this identification the cross product on  $\mathbb{R}^3$  corresponds to the imaginary part of the quaternionic product.
- (4) Show that the unit quaternions  $S^3 = \{h \in \mathbb{H} \mid \langle h, h \rangle = 1\}$  form a Lie group. Identify it as SU(2).

## Lie Subgroups, Homomorphisms, and the Closed Subgroup Theorem

**Exercise 3.1.** Show that the connected component of a Lie group G that contains the identity is a normal subgroup of G.

**Exercise 3.2.** Let G be a connected Lie group and let H be a Lie subgroup of finite index |G:H|. Show that H=G.

**Exercise 3.3.** The center of a Lie group G is the subgroup  $Z(G) := \{g \in G \mid gh = hg \text{ for all } h \in G\}$ .

- (1) Show that the center of SO(n) is  $\{\pm 1\}$  if n > 2 is even and  $\{1\}$  if n is odd.
- (2) Determine the center of U(n) and SU(n).

**Exercise 3.4.** Show that U(n) and  $S^1 \times SU(n)$  are diffeomorphic, but not isomorphic as Lie groups.

**Exercise 3.5.** Let  $\mathfrak{g}$  be a 2-dimensional Lie algebra over  $\mathbb{R}$  or  $\mathbb{C}$ . Show that  $\mathfrak{g}$  is either abelian or there exists a basis  $\{X,Y\}$  of  $\mathfrak{g}$  with [X,Y]=Y. Deduce that up to isomorphism there are only two 2-dimensional Lie algebras.

Can you realize the non-abelian 2-dimensional Lie algebra over  $\mathbb{R}$  as a Lie subalgebra of  $\mathfrak{gl}(2,\mathbb{R}) = M(2,\mathbb{R})$ ? What is the corresponding connected Lie subgroup of  $GL(2,\mathbb{R})$ ?

## Lie Group Actions, Orbits, and Stabilizers

**Exercise 4.1.** Let  $\varphi: G \to H$  be a Lie group homomorphism between connected Lie groups which is also a covering. Show that the kernel of  $\varphi$  is contained in the center of G. Conclude that the fundamental group of a Lie group is abelian.

**Exercise 4.2.** Recall the statements of Exercise 2.5. Now consider the Lie group of unit-quaternions  $S^3 = \{h \in \mathbb{H} \mid \langle h, h \rangle = 1\}$ . The adjoint representation Ad :  $S^3 \to GL(3,\mathbb{R})$  of  $S^3$  is given by  $h \mapsto \mathrm{Ad}_h$ , where  $\mathrm{Ad}_h : x \in \mathbb{R}^3 \mapsto hx\bar{h} \in \mathbb{R}^3$ , and  $\mathbb{R}^3$  is identified with the imaginary quaternions. Show that:

- (1) Ad:  $S^3 \to SO(3)$  is a Lie group homomorphism. Determine its kernel.
- (2) Ad:  $S^3 \to SO(3)$  is a covering map.

[Hint: By chapter 4 of script, one only needs to check that the differential at the identity is an isomorphism.]

Exercise 4.3. Consider the map

$$\varphi: S^3 \times S^3 \to SO(4)$$
  
 $(g,h) \mapsto \varphi(g,h),$ 

where  $\varphi(g,h): \mathbb{R}^4 \to \mathbb{R}^4$  is the linear map  $\varphi(g,h)(x) = gx\bar{h}$  under the identification  $\mathbb{R}^4 \cong \mathbb{H}$ . Show that  $\varphi$  is a well-defined Lie group homomorphism and a covering. What can you say about the possible Lie groups with Lie algebra  $\mathfrak{so}(4)$  up to isomorphism?

**Exercise 4.4.** Use the Gram-Schmidt process to show that  $SL(2,\mathbb{C})$  deformation retracts onto SU(2). See the following for a related and more general version of this statement.

**Theorem.** Let  $G \subset GL(n,\mathbb{C})$  be a closed matrix Lie group which is defined as the common zero locus of some set of real-valued polynomials in the real and imaginary parts of the matrix entries, and is closed under taking the adjoint matrix. Let  $\mathfrak{g} \subset \mathfrak{gl}(n,\mathbb{C})$  be its Lie algebra, and  $K := G \cup U(n)$ . Then the map

$$K \times \mathfrak{p} \to G(k, X) \mapsto k e^X$$

is a homeomorphism, where  $\mathfrak p$  is the subspace of hermitian matrices in  $\mathfrak g.$ 

In particular, this shows that  $SL(2,\mathbb{C})$  is simply connected. Have a look at the following proposition.

**Proposition.** The universal cover G of  $SL(2,\mathbb{R})$  can not realized as a matrix group: there does not exist an injective Lie group homomorphism  $\varphi: G \to GL(n,\mathbb{R})$ .

Which uses this fact to prove that there does not exist an injective Lie group homomorphism from the universal covering Lie group of  $SL(2,\mathbb{R})$  to some  $GL(n,\mathbb{R})$ . Convince yourself that the two homomorphisms appearing in the proof have indeed the same differential.

# Representation Theory I — $\mathfrak{sl}_2(\mathbb{C})$ and Highest-Weight Vectors

**Exercise 5.1.** Let G be a Lie group. Show that there exists a neighborhood of the neutral element e in G, which contains no subgroups except  $\{e\}$ .

**Exercise 5.2.** Determine all one-parameter groups  $\varphi: (\mathbb{R}, +) \to (\mathbb{R}^*, \cdot)$ .

**Exercise 5.3.** Let G be a connected Lie group. Show that  $\exp : \mathfrak{g} \to G$  is a group homomorphism if and only if G is abelian. (Here we consider  $\mathfrak{g}$  as a Lie group with respect to addition).

**Exercise 5.4.** Show that the exponential maps of  $T^n$ , SO(n) and SU(n) are surjective.

## Representation Theory II — Finite Dimensional $\mathfrak{sl}_2$ -Modules

**Exercise 6.1.** Let  $\varphi: G \to H$  be a Lie group homomorphism. Show that  $\ker \varphi$  is a closed Lie subgroup of G with Lie algebra  $\ker d\varphi$ .

#### Exercise 6.2.

(1) Let G an abelian Lie group. Show that the inversion  $i: G \to G$  is a Lie group homomorphism with  $a \mapsto a^{-1}$ 

differential  $di:\mathfrak{g}\to\mathfrak{g}$  . Conclude that  $\mathfrak{g}$  is abelian.

(2) Let G be a connected Lie group with abelian Lie algebra  $\mathfrak{g}$ . Use the adjoint representation to show that G is abelian.

**Exercise 6.3.** Let  $\mathfrak{g}$  be a Lie algebra over a field  $\mathbb{K}$ . A derivation of  $\mathfrak{g}$  is a  $\mathbb{K}$ -linear map  $D: \mathfrak{g} \to \mathfrak{g}$  such that D[X,Y] = [DX,Y] + [X,DY] for all  $X,Y \in \mathfrak{g}$ . Show that

- (1) The set  $Der(\mathfrak{g})$  of all derivations of  $\mathfrak{g}$  is a subalgebra of  $End(\mathfrak{g})$ , where  $End(\mathfrak{g})$  is endowed with the natural Lie commutator bracket.
- (2) ad :  $\mathfrak{g} \to \operatorname{Der}(\mathfrak{g})$ , where  $\operatorname{ad}_X(Y) := [X, Y]$ , is well-defined Lie algebra homomorphism.  $X \mapsto \operatorname{ad}_X$
- (3) Show that the image of ad in  $Der(\mathfrak{g})$  is an ideal.

**Exercise 6.4.** Let G be a connected Lie group. Show that the center Z of G coincides with the kernel of the adjoint representation of G. Moreover, show that its Lie algebra is given by  $\{X \in \mathfrak{g} \mid [X,Y] = 0 \text{ for all } Y \in \mathfrak{g}\} = \ker(\mathrm{ad})$ .

### Cartan Subalgebras, Roots, and Root Space Decomposition

Exercise 7.1. The group  $\operatorname{Aut}(\mathfrak{g})$  of Lie algebra automorphisms (i.e. linear isomorphisms  $A:\mathfrak{g}\to\mathfrak{g}$  which preserve that Lie bracket in the sense that A([X,Y])=[A(X),A(Y)]) of a finite dimensional real Lie algebra  $\mathfrak{g}$  is a closed subgroup of  $GL(\mathfrak{g})$  and hence a Lie subgroup. Show that its Lie algebra is given by the algebra  $\operatorname{Der}(\mathfrak{g})$  of derivations of  $\mathfrak{g}$ .

Exercise 7.2. Let  $\mathfrak{g}$  and  $\mathfrak{h}$  be Lie algebras over a field K and let  $\pi:\mathfrak{h}\to \mathrm{Der}(\mathfrak{g})$  be a Lie algebra homomorphism. Show that there exists a unique Lie algebra structure on the vector space  $\mathfrak{g}\oplus\mathfrak{h}$  such that  $\mathfrak{g}\subset\mathfrak{g}\oplus\mathfrak{h}$  is an ideal,  $\mathfrak{h}\subset\mathfrak{g}\oplus\mathfrak{h}$  is a Lie subalgebra, the restriction of the Lie bracket of  $\mathfrak{g}\oplus\mathfrak{h}$  to  $\mathfrak{g}$  and  $\mathfrak{h}$  respectively coincides with their initial Lie brackets, and such that  $[Y,X]=\pi(Y)(X)$  for all  $X\in\mathfrak{g}$  and  $Y\in\mathfrak{h}$ . This Lie algebra is called the semidirect sum of  $\mathfrak{g}$  and  $\mathfrak{h}$ , and is denoted as  $\mathfrak{g}\oplus\mathfrak{h}$ .

**Exercise 7.3.** Let G and H be Lie groups and  $\tau: H \to \operatorname{Aut}(G)$  a Lie group homomorphism such that the map

$$H \times G \to G$$
  
 $(h,g) \mapsto \tau(h)(g)$ 

is smooth. Show that

- (1) The semidirect product  $G \rtimes_{\tau} H$  of G and H with respect to  $\tau$  is a Lie group.
- (2) G and H are Lie subgroups of  $G \rtimes_{\tau} H$ , and G is moreover a normal subgroup.
- (3) The Lie algebra of  $G \rtimes_{\tau} H$  is given by  $\mathfrak{g} \oplus_{d\tau} \mathfrak{h}$ . Here  $d\tau : \mathfrak{h} \to \operatorname{Der}(\mathfrak{g})$  is the differential of the Lie group homomorphism  $H \to \operatorname{Aut}(\mathfrak{g})$ . Notice that, by Exercise 7.1, the Lie algebra of  $\operatorname{Aut}(\mathfrak{g})$  is Lie algebra of  $h \mapsto d(\tau(h))$

 $Aut(\mathfrak{g})$  is given by  $Der(\mathfrak{g})$ .

**Exercise 7.4.** Let  $A = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in GL(2,\mathbb{R})$ . Consider the abelian Lie algebra  $\mathbb{R}^2$  with a fixed basis  $\{X,Y\}$ , and the Lie algebra  $\mathbb{R}$  with a fixed basis  $\{Z\}$ . We define a Lie algebra homomorphism  $\pi_A : \mathbb{R} \to \operatorname{Der}(\mathbb{R}^2)$  by  $\pi_A(Z)(X) = aX + cY$  and  $\pi_A(Z)(Y) = bX + dY$ .

- (1) Show that the semidirect product  $\mathbb{R}^2 \oplus_{\pi_A} \mathbb{R}$  can be realized as a matrix Lie algebra, by identifying X with  $\begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$ , Y with  $\begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix}$ , and Z with  $\begin{pmatrix} a & b & 0 \\ c & d & 0 \\ 0 & 0 & 0 \end{pmatrix}$ .
- (2) Show that  $\mathbb{R}^2 \oplus_{\pi_A} \mathbb{R}$  is a solvable Lie algebra which is not which is not nilponent.
- (3) Show that the Lie algebras  $\mathbb{R}^2 \oplus_{\pi_A} \mathbb{R}$  with  $A = \begin{pmatrix} a & 0 \\ 0 & 1 \end{pmatrix}$ , a > 1, are not isomorphic to each other.

Hence, there are uncountable many pairwise non isomorphic real solvable 3-dimensional Lie algebras.

### Semisimple Lie Algebras and the Killing Form

**Exercise 8.1.** Let  $\mathfrak{g}$  be a semisimple Lie algebra. Show that the only ideals in  $\mathfrak{g}$  are the sums of some of its simple ideals.

Exercise 8.2. Prove that the Killing form of a nilpotent Lie algebra is zero.

**Exercise 8.3.** Show that  $\mathfrak{sl}(n,\mathbb{C})$  is simple for all n > 1. To that end, define  $E_{ij} \in M(n,\mathbb{C})$  as the matrix with all entries zero except a single entry 1 in the *i*th row and *j*th column, and check the following identity

$$[E_{ij}, E_{kl}] = \delta_{ik} E_{il} - \delta_{il} E_{kj},$$

where  $\delta_{ij} = \begin{cases} 1i = j \\ 0i \neq j \end{cases}$  is the Kronecker- $\delta$ . For a nontrivial ideal  $\mathfrak{h} \subset \mathfrak{sl}(n, \mathbb{C})$  then proceed as follows:

- (1) Show that there exists a matrix  $A = (a_{ij}) \in \mathfrak{h}$  such that  $a_{kl} \neq 0$  for some  $k \neq l$ .
- (2) Show that this implies  $E_{lk} \in \mathfrak{h}$ .
- (3) Deduce that  $\mathfrak{h} = \mathfrak{sl}(n, \mathbb{C})$ .

**Exercise 8.4.** Let  $\mathfrak{g}$  be a finite-dimensional complex Lie algebra.

- (1) Look up the statement of Schur's lemma.
- (2) Use Schur's lemma to show that if  $\mathfrak g$  is simple and semisimple, then every ad-invariant symmetric bilinear form C on  $\mathfrak g$  is a scalar multiple of the Killing form. Here, a symmetric bilinear form C on  $\mathfrak g$  is called ad-invariant, if  $C(\operatorname{ad}_X Y, Z) + C(Y, \operatorname{ad}_X Z) = 0$ .

[Hint: Define  $A: \mathfrak{g} \to \mathfrak{g}$  by B(A(X), Y) = C(X, Y).]

(3) Conclude that the Killing form B of  $\mathfrak{sl}(n,\mathbb{C})$ ,  $n \geq 2$ , satisfies

$$B(X,Y) = 2ntr(X,Y),$$

for all  $X, Y \in \mathfrak{sl}(n, \mathbb{C})$ .

### Compact Lie Groups and Maximal Tori

Exercise 9.1. Prove that a real Lie algebra with a positive-definite Killing form must be zero-dimensional.

**Exercise 9.2.** Explain why  $\mathfrak{sl}(2,\mathbb{R})$  is not a compact Lie algebra.

**Exercise 9.3.** Let G be a connected Lie group with Lie algebra  $\mathfrak{g}$ . Show that an inner product  $\langle \ , \ \rangle$  on  $\mathfrak{g}$  is Ad-invariant if and only if

$$\langle \operatorname{ad}_X Y, Z \rangle + \langle Y, \operatorname{ad}_X Z \rangle = 0$$

holds for all  $X, Y, Z \in \mathfrak{g}$  (in which case we say it is ad-invariant).

**Exercise 9.4.** Let  $\mathfrak{g}$  be a complex Lie algebra. By restricting the scalars, we can view it as a real Lie algebra  $\mathfrak{g}^{\mathbb{R}}$ . Show that  $\mathfrak{g}$  must be abelian, if  $\mathfrak{g}^{\mathbb{R}}$  is a compact Lie algebra.

## Covering Groups — SU(2) and SO(3)

**Exercise 10.1.** Let  $\mathfrak{g}$  be a complex Lie algebra. Show that  $\mathfrak{g}$  is semisimple (i.e. that its Killing form is nondegenerate) if and only if its realification  $\mathfrak{g}^{\mathbb{R}}$  is semisimple.

**Exercise 10.2.** Let  $\mathfrak{g}$  be a real Lie algebra. Show that  $\mathfrak{g}$  is semisimple if and only if its complexification  $\mathfrak{g}^{\mathbb{C}}$  is semisimple. Show that  $\mathfrak{g}^{\mathbb{C}}$  is simple, if  $\mathfrak{g}$  is a compact simple Lie algebra.

**Exercise 10.3.** Let  $p, q \ge 1$  be positive integers with n = p + q. One can show that

$$SU(p,q) = \{ A \in M(n,\mathbb{C}) \mid A^*I_{p,q}A = I_{p,q}, \det A = 1 \},$$

where  $I_{p,q} = \begin{pmatrix} -I_p & 0 \\ 0 & I_q \end{pmatrix}$ , is a Lie group with Lie algebra

$$\mathfrak{su}(p,q) = \{X = \begin{pmatrix} X_1 & X_2 \\ X_2^* & X_3 \end{pmatrix} \in M(n,\mathbb{C}) \mid X_1^* = -X_1, X_3^* = -X_3, \operatorname{tr}(X) = 0\}.$$

(1) Show that the complexification of  $\mathfrak{su}(p,q)$  is isomorphic to

$$\mathfrak{sl}(n,\mathbb{C}) = \{ X \in M(n,\mathbb{C}) \mid \operatorname{tr}(X) = 0 \}.$$

- (2) For which p and q is  $\mathfrak{su}(p,q)$  a compact real form of  $\mathfrak{sl}(n,\mathbb{C})$ ?
- (3) Conclude that the Lie groups SU(2)  $(n \ge 2)$ , SU(p,q)  $(p,q \ge 1)$  and  $SL(n,\mathbb{R})$   $(n \ge 2)$  are simple.

**Exercise 10.4.** Let  $\mathfrak{g} \subset \mathfrak{sl}(n,\mathbb{C})$  be a Lie subalgebra that is simple and invariant under taking the Hermitian conjugate, i.e.  $X^* \in \mathfrak{g}$  if  $X \in \mathfrak{g}$ . Show that  $\mathfrak{g}$  has a compact real form.

### Root Space Decomposition and Classification of 3D Compact Lie Groups

**Exercise 11.1.** Show that the diagonal matrices in SU(n) and U(n), respectively, form a maximal torus. Conclude that the diagonal matrices in  $\mathfrak{sl}(n,\mathbb{C})$  form a Cartan subalgebra.

**Exercise 11.2.** Consider the Lie algebra  $\mathfrak{sl}(n,\mathbb{C})$  with the diagonal matrices as a Cartan subalgebra  $\mathfrak{h}$ . Let  $E_{ij}$  be the matrix with a 1 in the i, j-entry and zeros elsewhere. Let  $e_i \in \mathfrak{h}^*$  be the linear functional defined by  $e_i(\operatorname{diag}(d_1,\ldots,d_n))=d_i$ . Recall the relation  $[E_{kl},E_{ij}]=\delta_{li}E_{kj}-\delta_{kj}E_{il}$ . Determine the roots and the root space decomposition of  $\mathfrak{sl}(n,\mathbb{C})$  with respect to the Cartan subalgebra  $\mathfrak{h}$ .

**Exercise 11.3.** In this exercise we want to prove the uniqueness part in the following statement about representations of  $\mathfrak{sl}(2,\mathbb{C})$ : For each integer  $n\geqslant 1$  there exists, up to isomorphism, a unique irreducible represention  $\pi:\mathfrak{sl}(2,\mathbb{C})\to \mathrm{End}(V)$  on a complex vector space of dimension n. It is uniquely determined by the property that there exists a basis  $\{v_0,\ldots,v_{n-1}\}$  of V such that

- (1)  $\pi(h)(v_i) = (n-1-2i)v_i$
- (2)  $\pi(f)(v_i) = v_{i+1}$  for i < n-1
- (3)  $\pi(f)(v_{n-1}) = 0$
- (4)  $\pi(e)(v_0) = 0$
- (5)  $\pi(e)(v_i) = i(n-1)v_{i-1}$  for  $i \ge 1$ .

Here are some hints: Start with any eigenvector v of  $\pi(h)$  and through applications of  $\pi(e)$  find an eigenvector  $v_0$  of  $\pi(h)$  such that  $\pi(e)v_0 = 0$ . Set  $v_i = \pi(f)^i v_0$  and show that there is a minimal k such that  $\pi(f)^{k+1} v_0 = 0$ . Prove that all desired conditions are satisfied and conclude that k = n - 1.

**Remark.** To show the existence part one can just define a representation of  $\mathfrak{sl}(2,\mathbb{C})$  by the above properties and check that all desired conditions are fulfilled. The resulting representations can for instance also be described in terms of bivariate homogenous polynomials. See the following theorem:

**Theorem.**  $\varphi_k$  is irreducible and  $\hat{S}^3 = \{\varphi_k\}_{k=0}^{\infty}$ .

Exercise 11.4. Use the root space decomposition to:

- (1) Classify compact connected Lie groups of dimension 3.
- (2) Prove that there exist no compact semisimple Lie groups in dimensions 4, 5 and 7.

### Haar Measure and Peter-Weyl Theorem

**Exercise 12.1.** Show that the Weyl group of  $\mathfrak{sl}(n,\mathbb{C})$  is isomorphic to the symmetric group  $S_n$ .

**Exercise 12.2.** Consider the Lie algebra  $\mathfrak{so}(2n,\mathbb{C}), n \geq 2$ , and define

$$\mathfrak{h} = \{ H = \begin{pmatrix} 0 & \mathrm{i} h_1 \\ -\mathrm{i} h_1 & 0 \\ & 0 & \mathrm{i} h_2 \\ & -\mathrm{i} h_2 & 0 \\ & & \ddots \\ & & 0 & \mathrm{i} h_n \\ & & -\mathrm{i} h_n & 0 \end{pmatrix} \mid h_i \in \mathbb{C} \} \subset \mathfrak{so}(2n, \mathbb{C}).$$

Let  $e_i \in \mathfrak{h}^*$ ,  $1 \leq i \leq n$ , be the linear map that maps the matrix H to  $h_i$ .

(1) Show that  $\operatorname{ad}_H E_{\alpha} = \alpha(H) E_{\alpha}$  for all  $H \in \mathfrak{h}$  and  $\alpha = \pm e_i \pm e_j$ , where the matrix  $E_{\alpha}$  is nontrivial at most in the i, j-blocks, where it is given by

$$E_{\alpha} = \begin{pmatrix} 0 & X_{\alpha} \\ -X_{\alpha}^{\mathrm{T}} & 0 \end{pmatrix}.$$

Here

$$X_{e_i-e_j} = \begin{pmatrix} 1 & \mathbf{i} \\ -\mathbf{i} & 1 \end{pmatrix}, \qquad X_{e_i+e_j} = \begin{pmatrix} 1 & -\mathbf{i} \\ -\mathbf{i} & -1 \end{pmatrix}$$
$$X_{-e_i+e_j} = \begin{pmatrix} 1 & -\mathbf{i} \\ \mathbf{i} & 1 \end{pmatrix}, \qquad X_{-e_i-e_j} = \begin{pmatrix} 1 & \mathbf{i} \\ \mathbf{i} & -1 \end{pmatrix}.$$

(2) Show that

$$\mathfrak{g}_{\alpha} := \{ X \in \mathfrak{so}(2n, \mathbb{C}) \mid [H, X] = \alpha(H)X, \forall H \in \mathfrak{h} \} = \mathbb{C}E_{\alpha},$$

for  $\alpha = \pm e_i \pm e_j$  and that

$$\mathfrak{so}(2n,\mathbb{C})=\mathfrak{h}\oplus\bigoplus_{lpha\in\Delta}\mathfrak{g}_{lpha},$$

where  $\Delta := \{ \pm e_i \pm e_j \mid 1 \leqslant i < j \leqslant n \}$ . Conclude that  $\mathfrak{h}$  is a Cartan subalgebra of  $\mathfrak{so}(2n, \mathbb{C})$  and that the decomposition above is the root space decomposition of  $\mathfrak{so}(2n, \mathbb{C})$  with respect to  $\mathfrak{h}$ .

**Exercise 12.3.** Let  $\mathfrak{a} \subset \mathfrak{so}(2n,\mathbb{C})$  be an ideal in  $\mathfrak{so}(2n,\mathbb{C})$ ,  $\mathfrak{a} \neq 0$ ,  $n \geqslant 3$ . Using the notation from the previous exercise, show that

- (1)  $\mathfrak{a} \not\subseteq \mathfrak{h}$ .
- (2) There is some  $\alpha \in \Delta$  such that  $\mathfrak{g}_{\alpha} \subset \mathfrak{a}$ .

[Hint: Consider  $X \in \mathfrak{a}$ ,  $X \notin \mathfrak{h}$ . Let  $\alpha_0 \in \Delta$  be such that the component of X in  $\mathfrak{g}_{\alpha_0}$  is nontrivial. Successively apply Lie brackets such that a new X has no component in  $\mathfrak{g}_{\alpha}$  for any  $\alpha \neq \alpha_0$ .]

(3)  $\mathfrak{g}_{\beta} \subset \mathfrak{a}$ , for all  $\beta \in \Delta \cup \{0\}$ . Conclude that  $\mathfrak{so}(2n, \mathbb{C})$  is simple.

**Exercise 12.4.** Choose a set of simple roots for  $\mathfrak{so}(2n,\mathbb{C})$ ,  $n \geqslant 3$ , and use them to determine the Dynkin diagram of  $\mathfrak{so}(2n,\mathbb{C})$ .

[Hint: Recall that the Killing form of  $\mathfrak{so}(2n,\mathbb{C})$  needs to be proportional to  $\operatorname{tr}(XY)$  by Exercise 8.4, since  $\mathfrak{so}(2n,\mathbb{C})$  is simple by Exercise 12.3, semisimple because we have a compact real form, and the trace is an ad-invariant bilinear form.]